THTA

SoFi Enhanced Yield ETF

As of 9/30/2026

Trading Details

Ticker	THTA
CUSIP	886364280
Exchange	NYSE

Fund Details

Inception Date	11/15/2023
Number of Holdings	7
Expense Ratio	0.49%
Distribution Frequency	Monthly

Fund Description

THTA seeks current income by combining a strategy of holding U.S. government securities, including U.S. Treasury Bills and U.S. Treasury Bonds, with a "credit spread" option strategy to seek to generate enhanced yield.

THTA is an alternative investment strategy that takes an approach usually requiring high minimums and reserved for institutions, and democratizes it to work with any size portfolio in an easily accessible ETF.

- **Generate Income** – The strategy seeks to generate income by holding short-duration US Treasuries without material interest rate risk while potentially capturing enhanced income by selling volatility premium using high probability defined risk option spreads.
- **Leverage US Treasuries** – The core portfolio of treasuries generates income and is used as collateral for the options strategies.
- **Balance Your Portfolio** – This strategy can potentially generate income across a range of market conditions. Investors can use THTA as a higher-yield product to generate additional uncorrelated yield.

Top Holdings

As of 9/30/2026

Ticker	Company	%
912797VQ7	United States Treasury Bill 07/08/2027	20.76
912828X88	United States Treasury Note/Bond 2.375% 05/15/2027	19.85
912797TV9	United States Treasury Bill 02/18/2027	19.33
912797UK1	United States Treasury Bill 10/15/2026	18.64
912797VG9	United States Treasury Bill 12/10/2026	18.09
SPXW 261016P06600000	SPXW US 10/16/26 P6600	3.67

Holdings are subject to change

Performance

Quarter end returns as of 9/30/2026

	YTD	1 Mo	3 Mo	6 Mo	1 Yr	3 Yr	Since Inception
Market Price	11.82	1.00	3.48	7.42	15.89	-	3.02
Fund Nav	11.68	0.98	3.45	6.88	15.78	-	2.95
Bloomberg US Agg Bond Index	-2.91	-2.61	-3.51	-2.87	-1.84	-	3.65

The performance data quoted represents past performance. Past performance does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost and current performance may be lower or higher than the performance quoted. For the most recent month-end performance, please visit the Fund's website at http://sofi.com/invest/etfs. The market price is the final price at which a security is traded on a given trading day. Net Asset Value (NAV) is value per share on a specific date or time. Returns less than one year are cumulative.

Before investing you should carefully consider the Fund's investment objectives, risks, charges and expenses. This and other information is in the prospectus. A prospectus may be obtained by visiting sofi.com/invest/etfs. Please read the prospectus carefully before you invest.

Investors buy and sell ETF shares through a brokerage account or an investment advisor. Like ordinary stocks, brokerage commissions, and/or transaction costs or services fees may apply. Please consult your broker or financial advisor for their fee schedule.

There is no guarantee that the Fund's investment strategy will be successful. Investments in REITs involve unique risks. Securities in the real estate sector are subject to the risk that the value of their underlying real estate may go down. Shares may trade at a premium or discount to their NAV in the secondary market, and a Fund's holdings and returns may deviate from those of its index. These variations may be greater when markets are volatile or subject to unusual conditions. A high portfolio turnover rate increases transaction costs, which may increase the Fund's expenses. The Fund is new and has a limited operating history.

The securities that comprise the Index are selected by retail investors holding SoFi Accounts, who may not be professional investors, may have no financial expertise, and may not do any research on the companies in which they invest prior to investing. In some cases, investment decisions made may be influenced by non-quantitative factors, including, without limitation, cognitive and emotional biases, resulting in the inclusion of certain securities in the Index which may underperform the market generally and result in lower returns for the Fund.

Diversification does not ensure profit or protect against loss in declining markets.

The Fund intends to make distributions monthly. Distributions may include net investment income and return of capital.

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